

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Section 8

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/178,126 10/29/98 STIVLAND T. 1001.1294101

0232/1106

GLENN M. SEAGER  
DROMPTON SEAGER & TUFT  
331 SECOND AVENUE SOUTH  
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MINNEAPOLIS MN 55401-2246

NOT ASSIGNED

3734

DATE MAILED:

11/06/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 1636.

☒ 1. The statutory basic filing fee is:

☐ missing

☐ insufficient

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 616, including any multiple dependent claim fees, are required.

\$ 616 for 28 independent claims over 3.

\$ 616 for 28 dependent claims over 20.

\$          for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☒ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date, is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☒ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY



#3

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Stivland et al.

Serial No.: 09/178,126

Examiner: unknown

Filed: October 23, 1998

Group Art Unit: unknown

For: CATHETER HAVING IMPROVED BONDING REGION

Docket No.: 1001.1294101

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED  
UNDER 37 CFR 1.53(d) AND 37 CFR 1.16(e)**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS  
CORRESPONDENCE IS BEING DEPOSITED WITH  
THE UNITED STATES POSTAL SERVICE AS  
FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO: ASSISTANT COMMISSIONER  
FOR PATENTS, WASHINGTON, D.C. 20231.

6th DAY OF Jan, 19 99

Dear Sir:

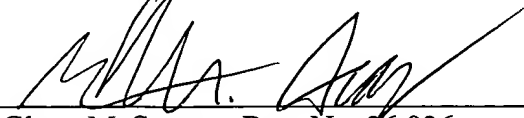
In response to the Notice to File Missing Parts of Application - Filing Date  
Granted dated November 6, 1998, Applicants have enclosed herewith the following documents  
to complete the above-identified patent application filed October 23, 1998:

1. Our check in the amount of \$1394.00 to cover the filing fee and surcharge fee.
2. Combined Declaration and Power of Attorney in Original Application executed by the inventors, Timothy M. Stivland and Elias A. Khoury.
3. Executed Assignment of the invention to SciMed Life Systems, Inc.
4. Notice to File Missing Parts of Application-Filing Date Granted.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this application or credit any overpayment to Deposit Account No. 50-0413.

Respectfully submitted,

TIMOTHY M. STIVLAND ET AL.

By: 

Glenn M. Seager , Reg. No. 36,926  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South  
Suite 895  
Minneapolis, MN 55401  
Tel: (612) 677-9050

#3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Stivland et al.

Serial No.: 09/178,126

Filed: October 23, 1998

For: CATHETER HAVING IMPROVED BONDING REGION



Examiner: unknown

Group Art Unit: unknown

Docket No.: 1001.1294101

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on

this 6th day of January, 19 99

By

Glenn M. Seager

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		x9=	\$	x18=	\$
INDEPEN- DENT CLAIMS	-	=		x39=	\$	x78=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+130=	\$	+260=	\$
TOTAL				\$		\$	

- [X] A check in the amount of \$ 1394.00 is enclosed.
- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [X] Other: Response to Notice to File Missing Parts of Application-Filing Date Granted, Executed Combined Declaration and Power of Attorney, and PTO copy of Notice to File Missing Parts of Application-Filing Date Granted
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_

Glenn M. Seager

Reg. No. 36,926

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